

# Quantum

## Lecture 1

- Metric and linear spaces
- Hilbert spaces

The mathematical description of a quantum mechanical system relies fundamentally on **Hilbert space theory**

The **state** of a quantum system is a **point in a Hilbert space**  $\mathcal{H}$

- A Hilbert space is a linear vector space with an inner product, which is complete relative to the induced norm

Usually it is assumed that  $\mathcal{H}$  is separable, so that it has a countable basis

Performing **measurements** of real-valued physical entities is modeled via **linear operators** on  $\mathcal{H}$ , with the eigenvalues of the operator as possible outcomes

- To make physical sense, these operators must be self-adjoint and compact

⇒ we need to learn more about concepts like: *linear space, inner product, norm, complete, separable, basis, eigenvalue, self-adjoint and compact. . .*

# Metric, Linear and Normed Spaces

For a given set  $\Omega$ , a function  $\rho : \Omega \times \Omega \rightarrow \mathbb{R}$  is a **metric** if for all  $x, y, z \in \Omega$

$$\rho(x, y) \geq 0 \text{ with } = \text{ only if } x = y$$

$$\rho(x, y) = \rho(y, x)$$

$$\rho(x, z) \leq \rho(x, y) + \rho(y, z)$$

The pair  $(\Omega, \rho)$  is a **metric space**

For a sequence  $\{x_n\}$  in a metric space  $\Omega$ , if for each  $\varepsilon > 0$  there is an  $N$  and an  $x \in \Omega$  such that

$$\rho(x_n, x) < \varepsilon \text{ for all } n > N, \text{ then } \{x_n\} \text{ converges to the point } x$$

$$\rho(x_m, x_n) < \varepsilon \text{ for all } m, n > N, \text{ then } \{x_n\} \text{ is a Cauchy sequence}$$

A metric space is **complete** if all Cauchy sequences converge to points in the space

A set  $O$  in  $\Omega$  is **open** if for any  $x \in O$  there is an  $r > 0$  such that  $B_r(x) \subset O$ , where  $B_r(x) = \{y \in \Omega : \rho(x, y) < r\}$

Given a set  $E \subset \Omega$ , a point  $x \in \Omega$  is a **limit point** of  $E$  if  $O \cap E \neq \emptyset$  for all open  $O$  with  $x \in O$

The set of all limit points of  $E =$  the **closure** of  $E$ , notation  $\overline{E}$

A set  $E$  is **closed** if  $E^c$  is open

A set  $E$  is **dense** in  $\Omega$  if  $\overline{E} = \Omega$

- c.f. the rational numbers  $\mathbb{Q}$  are dense in  $\mathbb{R}$

$(\Omega, \rho)$  is **separable** if there is a countable set  $E \subset \Omega$  such that  $\overline{E} = \Omega$

- c.f.  $\mathbb{R}$  is separable since  $\mathbb{Q}$  is countable and  $\mathbb{R} = \overline{\mathbb{Q}}$

Given a set  $E$ , a collection  $\mathcal{S}$  of sets is a **covering** of  $E$  if  $E \subset \bigcup_{S \in \mathcal{S}} S$

Given  $E$ , if  $\mathcal{S}$  is a covering of  $E$  and  $\mathcal{S}' \subset \mathcal{S}$  is also a covering, then  $\mathcal{S}'$  is a **subcovering**

A covering  $\mathcal{S}$  is **open** if it contains only open sets

Given  $(\Omega, \mathcal{T})$ , a subset  $E \subset \Omega$  is **compact** if every open covering of  $E$  has a finite subcovering

- $E \subset \mathbb{R}^n$  is compact  $\iff E$  is closed and bounded

$(\Omega, \rho)$  is compact if  $\Omega$  is compact

A **linear space** is a set  $\Omega$ , a field  $F$  ( $= \mathbb{R}$  or  $\mathbb{C}$ ) and two operations '+' and '·' such that for all  $x, y, z \in \Omega$  and  $a \in F$

$$x + y \in \Omega$$

$$a \cdot x \in \Omega$$

$$x + y = y + x$$

$$x + (y + z) = (x + y) + z$$

Furthermore,

there is an element  $0 \in \Omega$  such that  $x + 0 = x$

there is an element  $-x \in \Omega$  such that  $x + (-x) = 0$

The field  $F$  is the set of **scalars**

A linear space is a **normed space** if  $F = \mathbb{R}$  or  $\mathbb{C}$  and there is a function  $\| \cdot \|$  from  $\Omega$  to  $\mathbb{R}$  such that for all  $x, y \in \Omega$  and  $a \in F$

$$\|x\| \geq 0 \text{ with equality only if } x = 0$$

$$\|a \cdot x\| = |a| \|x\|$$

$$\|x + y\| \leq \|x\| + \|y\|$$

The **metric space induced by**  $(\Omega, \| \cdot \|)$  is the space  $(\Omega, \rho)$  with  $\rho(x, y) = \|x - y\|$

A normed space with metric defined by the norm is a **Banach space** if it is complete

Let  $\Omega$  and  $\Lambda$  be linear with the same  $F$ . A function  $L : \Omega \rightarrow \Lambda$  is a **linear operator** (mapping, transformation) if for  $x, y \in \Omega$  and  $a \in F$

$$L(x + y) = L(x) + L(y)$$

$$L(ax) = aL(x)$$

If  $\sup\{\|L(x)\| : \|x\| \leq 1\} < \infty$  then  $L$  is **bounded**

In case  $\Lambda = F$  we call  $L$  a (linear) **functional**

The set of all bounded  $L$  is denoted  $B(\Omega, \Lambda)$ . If we define

$$(L_1 + L_2)(x) = L_1(x) + L_2(x)$$

and  $(aL)(x) = aL(x)$  for  $L, L_1, L_2 \in B(\Omega, \Lambda)$  and any  $a \in F$ , then  $B(\Omega, \Lambda)$  is a linear space, and a normed space with norm

$$\|L\| = \sup\{\|L(x)\| : \|x\| \leq 1\} < \infty$$

When  $\Lambda = F$  we say  $B(\Omega, \Lambda) = \Omega^*$  with norm  $\|\cdot\|_*$

For a normed space  $\Omega$ , the space  $(\Omega^*, \|\cdot\|_*)$  of **bounded linear functionals** on  $\Omega$  is called the **dual** of  $\Omega$

Let  $\Omega$  be a linear space with scalar field  $\mathbb{R}$  or  $\mathbb{C}$ . An **inner product** on  $\Omega$  is a mapping  $g : \Omega \times \Omega \rightarrow F$  such that for all  $x, y, z \in \Omega$  and scalars  $a$  and  $b$ , and with  $\langle x, y \rangle = g(x, y)$

$$\langle ax + by, z \rangle = a\langle x, z \rangle + b\langle y, z \rangle$$

$$\langle x, y \rangle = (\langle y, x \rangle)^* \text{ (complex conjugate)}$$

$$\langle x, x \rangle \geq 0 \text{ with equality only if } x = 0$$

The pair  $(\Omega, g)$  is an **inner product space**

Given  $(\Omega, g)$ , with  $\langle x, y \rangle = g(x, y)$ ,  $\|x\| = \sqrt{\langle x, x \rangle}$  is a norm

For two inner product spaces  $(\Omega, g)$  and  $(\Gamma, u)$ , a linear operator  $L : \Omega \rightarrow \Gamma$  is **unitary** if it is bijective (1-to-1 and onto) and  $u(L(x), L(y)) = g(x, y)$  for all  $x, y \in \Omega$

## Hilbert Space

A complete normed inner product space with norm induced by the inner product is called a **Hilbert space**

$x$  and  $y$  (in a Hilbert space) are **orthogonal** if  $\langle x, y \rangle = 0$

For  $S \subset \Omega$ , the **orthogonal complement** is

$$S^\perp = \{y \in \Omega : \langle x, y \rangle = 0 \text{ for all } x \in S\}$$

Let  $(\Omega, g)$  be an inner product space, then there exists a Hilbert space  $(\mathcal{H}, h)$ , the **completion** of  $(\Omega, g)$ , fulfilling:

There is a linear 1-to-1 mapping  $T : \Omega \rightarrow \mathcal{H}$  such that

$$g(x, y) = h(T(x), T(y))$$

$\{T(x) : x \in \Omega\}$  is dense in  $\mathcal{H}$

$S \subset \Omega$  is an orthogonal set if all elements are pairwise orthogonal; such a set is **orthonormal** if in addition  $\|x\| = 1$  for all  $x \in S$

If  $S$  is orthonormal and is not contained in any strictly larger orthonormal set, then  $S$  is a **basis**

If  $\Omega \neq \{0\}$  is a Hilbert space, then it has a basis  $S$

In general  $S$  can be uncountable; a Hilbert space has a countable basis iff it is **separable**

- assume that whenever we have a Hilbert space it is separable

The **span**,  $\text{span}(S)$ , of an arbitrary subset  $S$  is the set of all finite linear combinations of the elements in  $S$

For a (separable) Hilbert space  $\Omega$  and an orthonormal subset  $E \subset \Omega$ , the following are equivalent

$E$  is a basis

$$\overline{\text{span}(E)} = \Omega$$

$$\langle x, e \rangle = 0 \text{ for each } e \in E \Rightarrow x = 0$$

for each  $x \in \Omega$

$$x = \sum_{e \in E} \langle x, e \rangle e \quad \text{and} \quad \|x\|^2 = \sum_{e \in E} |\langle x, e \rangle|^2$$

For  $\Omega$  and its **dual**  $\Omega^*$ ,  $\ell \in \Omega^*$  iff there is a  $y \in \Omega$  such that  $\ell(x) = \langle y, x \rangle$  for each  $x \in \Omega$ . Also,  $\|\ell\|_* = \|y\|$

# Compact Self-Adjoint Operators

Let  $\Omega \neq \{0\}$  be a Hilbert space and  $T : \Omega \rightarrow \Omega$  a bounded linear operator. For fixed  $y$  the mapping  $x \rightarrow \langle T(x), y \rangle$  is a bounded linear functional

There is a unique  $t \in \Omega$  such that  $\langle T(x), y \rangle = \langle x, t \rangle$

The element  $t$  is called the **adjoint** of  $T$ , notation  $T^*(y)$

If  $T^*(x) = T(x)$  for all  $x \in \Omega$  then  $T$  is **self-adjoint**

A linear operator  $T$  is **compact** if  $\overline{\{T(x) : \|x\| \leq 1\}}$  is compact

A number  $\lambda$  is an **eigenvalue** of  $T$  if there is a  $x \neq 0$  such that  $T(x) = \lambda x$ , where  $x$  is the corresponding **eigenvector**

If  $T$  is self-adjoint, then

$\langle T(x), x \rangle$  is real-valued for all  $x \in \Omega$

all eigenvalues are real

if  $\lambda_1 \neq \lambda_2$  correspond to  $x_1$  and  $x_2$ , then  $\langle x_1, x_2 \rangle = 0$

if  $\lambda$  is an eigenvalue then  $|\lambda| \leq \|T\|$

if  $T$  is also compact then for  $\lambda \neq 0$  the set  $\{x : T(x) = \lambda x\}$  is finite-dimensional (has a finite basis)

**Spectral Theorem.** Let  $T$  be a compact self-adjoint operator on  $\Omega$ . Then there is a countable orthonormal set  $\{u_n\}$  such that for any  $x \in \Omega$

$$T(x) = \sum_n \lambda_n \langle x, u_n \rangle u_n$$

where  $\{\lambda_n\}$  are all non-zero (not necessarily distinct) eigenvalues



Note that we can write

$$T(x) = \sum_i \lambda_i P_i(x)$$

over *distinct* eigenvalues  $\lambda_i$ , where  $P_i(x)$  projects  $x$  to  $\{x : T(x) = \lambda_i x\}$ , the finite-dimensional subspace spanned by the eigenvectors of  $\lambda_i$

If  $\Omega \neq \{0\}$  is a separable Hilbert space and  $T$  a compact self-adjoint operator, then **the eigenvectors of  $T$  form a countable basis** for  $\Omega$

For a bounded linear operator  $T$  on a Hilbert space  $\Omega$ , the **trace** of  $T$  is defined as

$$\text{Tr } T = \sum_i \langle e_i, T(e_i) \rangle$$

where  $\{e_i\}$  is any orthonormal basis. The number  $\text{Tr } T$  does not depend on which basis we choose

An operator  $T$  is **positive** if it is self-adjoint and  $\langle x, T(x) \rangle > 0$  for all  $x \Rightarrow \text{Tr } T > 0$

For any positive operator  $T$ , let  $\sqrt{T}$  be the operator that solves  $\sqrt{T}(\sqrt{T}(x)) = T(x)$

If  $T : \Omega_1 \rightarrow \Omega_2$  is bounded then  $T^*(T(x)) : \Omega_1 \rightarrow \Omega_1$  is positive

Let  $|T|(x) = \sqrt{T^*(T(x))}$  be the **absolute value** of  $T$

A bounded operator  $T$  is **trace class** if  $\text{Tr } |T| < \infty$

trace class  $\Rightarrow$  compact

The **exponential** of a bounded linear operator

$$\exp T = I + T + \frac{T^2}{2} + \frac{T^3}{3!} + \dots$$

(where  $I$  is the identity,  $I(x) = x$ , and  $T^2(x) = T(T(x))$ , etc.)

The bounded linear operator  $T$  has a **logarithm**  $L$ , if  $T = \exp L$